UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C F.R §1 53(b))

Attorney Docket No.

Customer No.

33216M0481
00441

First Inventor or Application Identifier

Hiroshi NAKATSUKA, et al.

Piezoelectric transformer, piezoelectric transformer drive circuit, piezoelectric transformer drive method and cold cathode tube drive apparatus using piezoelectric transformer

Express Mail Label No.

Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents Washington, D.C 20231 Microfiche Computer Program (Appendix) *Fee Transmittal Form (e.g., PTO/SB/17) (submit an original and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission (if Specification [Total Pages 105] applicable, all necessary) (preferred arrangement set forth below) Computer Readable Copy a. - Descriptive title of the invention Paper Copy (identical to computer copy) Ъ. - Cross References to Related Applications Statement verifying identity of above copies - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix ACCOMPANYING APPLICATION PARTS - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 8. 37 C.F.R. §3.73(b) Statement - Claim(s) (when there is an assignee) - Abstract of the Disclosure Power of Attorney 9. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets 38] 10. Information Disclosure Statement (IDS)/PTO-1449 Oath or Declaration [Total Pages 2] Copies of IDS Citations Newly executed (original or copy) 11. Preliminary Amendment Copy from a prior application (37 C.F.R. §1.63(d)) b. Return Receipt Postcard (MPEP 503) 12. (for continuation/divisional with Box 16 (Should be specifically itemized) completed) *Small Entity Statement(s) (PTO/SB/09-12) 13. DELETION OF INVENTOR(S) Statement filed in prior application, Status still proper Signed statement attached deleting and desired inventor(s) named in the prior application, see Certified Copy of Priority Document(s) 37 C.F.R. §1.63(d)(2) and 1.33(b). NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C F R. §1 27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C F.R. §1 28). (if foreign priority is claimed) 15. □ Other:

16.	If a CONTINUI	NG APPLICAT	TON, check appropriate box	k, and supply the requisite in	iformation bel	low and in a prel	ıminary amendr	nen
	□ Continuation	☑ Divisional	☐ Continuation-in-par	t (CIP) of prior applicat	ion No: 09	9/588.079 file	d 6/5/00.	

Prior application information: Examiner: M. Budd Group/Art Unit: 2834

First application information. Examine: Nr. Butto Good Part of the 20-4 part of the disclosure of the prior application, from which an eath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

Signature

or ⊠Correspondence address below

May 11, 2001

(insert Customer No. or Attach bar code label here Name SMITH GAMBRELL & RUSSELL, L.L.P. 1850 M Street, N.W., Suite 800 Address Zip Code 20036 City Washington, D.C. State (202) 659-2811 (202) 263-4329 Country U.S.A. Telephone Fax Michael A. Makuch 32,263 Reg. No. Name

Burden Hour Statement This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THE ADDRESS, SEND TO

Assistant Commissioner for Patents, Box Patent Application, DC 20231.

	Complete if Known		
FEE TRANSMITTAL	Application Number	New	
Small Entity payments must be supported by a small entity statement, otherwise large entity tees must be paid.	Filing Date	May 11, 2001	
See Forms PTO/SE/09-12. See 37 C F R. §\$1 27 ænd 1.28	First Named Inventor	Hiroshi NAKATSUKA	
	Examiner Name	M. Budd (anticipated)	
	Group / Art Unit	2834 (anticipated)	
TOTAL AMOUNT OF PAYMENT: \$710.00	Attorney Docket No Customer No.	33216 M 0481 00441	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES			
Deposit Account No.: 02-4300	Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Pard Code (\$) Code (\$)			
Deposit Account Name: Charge any additional fee Required Under 37 C.F.R. §§1.16	105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination			
and 1.17 Charge the Issue Fee Set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance	112 920° 112 920° Requesting publication of SIR prior to Examiner action 113 1,840° 113 1,840° Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month			
2. Payment Enclosed:	117 390 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fill month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal			
⊠ Check □ Money Order □ Other	121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavordable 141 1,240 241 620 Petition to revive - unaprientional			
FEE CALCULATION 1. BASIC FILING FEE	142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petthous to the Commissioner			
Large Entity Small Entity Fee Fee Fee Fee Fee Peechescription Fee Paid	123 50 123 30 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 581 40 Recording each patent assignment per property (times number of properties)			
Code (\$) Code (\$) 101 710 201 353 Unlisy filing fee 710 00 105 320 206 160 Design filing fee 107 450 207 245 Plant filing fee	146 710 246 355 Filing a submission after final rejection (37 CFR 1 129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1 129(b))			
108 710 208 355 Resease filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1): \$710.00	Other fee (specify) Other fee (specify)			
2. EXTRA CLAIM FEES	**SUBTOTAL (3): \$0.00			
Extra Claims Fee from Fee paid below	TOTAL: \$ 710.00			
Total Claims $2-20 = 0 \times $18.00 = 0.00$				
Indep. Claims 2-3 = x \$80.00 = 0.00				
Multiple Dep. = 270,00 = 0.00				
SUBTOTAL (2): \$ 0.00				

SUBN	MITTED BY				Complete (if applicable)	
Typed Printe	d or ed Name	Michael A. Makuch			Reg. Number	32,263
Signa	ture	Om C	Date	May 11, 2001	Deposit Account User ID	02-4300

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